
Special Election Candidates

For Use by State and Local Candidates Involved in a
Special Election Called to Fill a Vacant Office

Schedule A-1

Attachment to Schedule A For Reporting Transfers to a Special Election Committee

CALIFORNIA
FORM

460

SCHEDULE A-1

This form is used by candidates as an attachment to Schedule A, Form 460, to itemize the sources of contributions transferred from the candidate's regular election campaign bank account to his/her campaign bank account established for a special election (an election called to fill a vacant office). Schedule A-1 should be attached to the special election committee's campaign statement.

A candidate with more than one campaign bank account may transfer funds from a regular election account to his/her special election account as long as the transfer does not cause the total amount contributed by any person to the special election account to exceed the contribution limitations of Section 85305.

Those contribution limits are:

- \$1,000 from any person
- \$2,500 from a political committee
- \$5,000 from a broad based political committee or a political party

A "person" is an individual, proprietorship, firm, partnership, joint venture, syndicate, business, trust, company, corporation, limited liability company, association, committee, or labor organization.

"Political committee" means a committee of persons who receive contributions from two or more persons and acting in concert makes contributions to candidates.

"Broad based political committee" means a committee of persons which has been in existence for more than six months, receives contributions

from 100 or more persons, and acting in concert makes contributions to five or more persons.

The total amount transferred from a regular election account to a special election account must be entered on Schedule I of the special election committee's campaign statement. The amount transferred must also be attributed to specific contributors and those contributors must be itemized on Schedule A-1, if the cumulative amount of any contributor's contributions transferred to the special election account is \$100 or more.

IMPORTANT:

- Contributions from any other candidate or officeholder may not be transferred unless those contributions were made from the candidate or officeholder's personal funds.
- The value of any inventory, equipment, or other asset to be transferred to the candidate's special election committee also must be attributed to specific contributors. The value is the fair market value at the time of the transfer.
- The contribution limitations apply separately to the special election cycle and the special runoff election cycle.

"Special election cycle" means the day on which the office becomes vacant until the day of the special election.

"Special runoff election cycle" means the day after the special election is held until the day of the special runoff election.

- Contributions may be received before the elective office becomes vacant as long as the candidate has filed a Candidate Intention (Form 501) for that office. In addition, contributions may be received after the special election or, if a special runoff election is necessary, after the special runoff election. However, all contributions received by or transferred to a special election account are subject to the contribution limitations regardless of when they are received or transferred.

For information on when and where to file statements, recordkeeping requirements, prohibitions, and more, refer to the FPPC Information Manual on Campaign Disclosure Provisions (available from your filing officer or the FPPC). Also see the manual for information required to be provided to you pursuant to the Information Practices Act of 1977.

Copies of FPPC forms and informational materials are also available on the FPPC website (www.fppc.ca.gov).

This form was prepared by the Fair Political Practices Commission (FPPC).

Schedule A-1

Contributions Transferred to a Special Election Committee

Type or print in ink.
Amounts may be rounded
to whole dollars.

Statement covers period		CALIFORNIA FORM 460 SCHEDULE A-1
from _____	through _____	
Page _____ of _____		I.D. NUMBER

SEE INSTRUCTIONS ON REVERSE

NAME OF CANDIDATE AND CONTROLLED COMMITTEE

DATE OF ORIGINAL CONTRIBUTION	FULL NAME, MAILING ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT TRANSFERRED FROM CONTRIBUTOR	CUMULATIVE AMOUNT OF CONTRIBUTIONS RECEIVED	
					SPECIAL ELECTION	SPECIAL RUNOFF ELECTION
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH				
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH				
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH				
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH				
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH				
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH				

NOTE: Contributions from the contributors itemized on the attached Schedules A-1 have been transferred to the special election committee from another campaign account controlled by this candidate. See Schedule I, Miscellaneous Increases To Cash, for the total dollar amount of transferred contributions.

*Contributor Codes: IND – Individual COM – Recipient Committee OTH – Other

Schedule A-1

Attachment to Schedule A For Reporting Transfers to a Special Election Committee

CALIFORNIA
FORM

460
SCHEDULE A-1

A Candidate who Transfers Contributions to a Special Election Committee Must:

Maintain records which identify the specific contributors to whom transferred contributions have been attributed.

- Disclose on Schedule A-1 each contribution of \$100 or more transferred to the special election committee, including the following information:

- The date the contribution was originally received by the transferring committee.
- The full name, street address, city, state, and zip code of the contributor. If the contributor is a recipient committee, also enter that committee's identification number. If no identification number has been assigned, enter the full name, street address, city, state, and zip code of that committee's treasurer.

For each itemized contributor, check the applicable contributor code:

IND--contributions from any individual's personal funds.

COM--contributions from other committees that receive contributions. These committees will have an identification number assigned by the Secretary of State. Examples: political action committees, political parties, other candidates' committees.

OTH--All other contributors, including business entities.

- If the contribution is from an individual, also provide his or her occupation and employer. If the contributor is self-employed, enter the name of the contributor's business.
- The total amount of contributions transferred to the special election committee attributable to the contributor.

- The cumulative amount of contributions attributable to the contributor which have been transferred to the special election committee and any contributions made by the contributor directly to the special election committee.

Schedule A-1 should be filed as an attachment to the special election committee's campaign statement.

Example:

Assemblywoman Kim Nguyn has an existing assembly campaign committee. She filed her statement of intent to run for a vacated senate seat in a special election. Using any reasonable accounting method, she may transfer funds from her assembly campaign committee to her senate special election committee, provided she stays within the contribution limits and attributes the transferred funds to specific contributors.

Using the "last in-first out" method, Kim attributed a \$9,750 transfer to the following contributors:

Contributions to Assembly Committee (No limits)				Amount Permitted to Be Transferred to Special Election (Subject to limits)	
10/20	Joe Wynn	\$2,500	(person)	Joe Wynn	\$1,000
10/14	Delta Corp.	\$5,000	(person)	Delta Corp.	\$1,000
9/29	Teachers PAC	\$7,500	(broad based)	Teachers PAC	\$5,000
9/11	Jan Tucker	\$250	(person)	Jan Tucker	\$250
9/1	Retailers Assn PAC	\$4,000	(political comm.)	Retailers Assn PAC	\$2,500